

# Quantum Spin Liquids in Frustrated Magnets

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**SB**, C. Lhuillier, and L. Messio,

Phys Rev. B 93, 094437 (2016).

**SB**, L. Messio, B. Bernu, and C. Lhuillier,

Phys. Rev. B 92, 060407(R) (2015).

B. Fåk, **SB**, et al., arXiv:1610.03753 (2016).

Reviews: Balents, Nature 464, 199 (2010); Norman, arXiv:1604.03048; Zhou et al, arXiv:1607.03228

# Collaborations

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**ANR**

# Outline

- Ordinary liquids and quantum (spin) liquids
- Quantum spin liquids: general properties; RVB states
- Projective symmetry group classification: generalities
- Experimental candidates
- Kagome Heisenberg system: kapellasite
- Triangular Spin S=1 QSL in "A-BaNiSbO"
- Conclusion

Liquids?



or solids ?

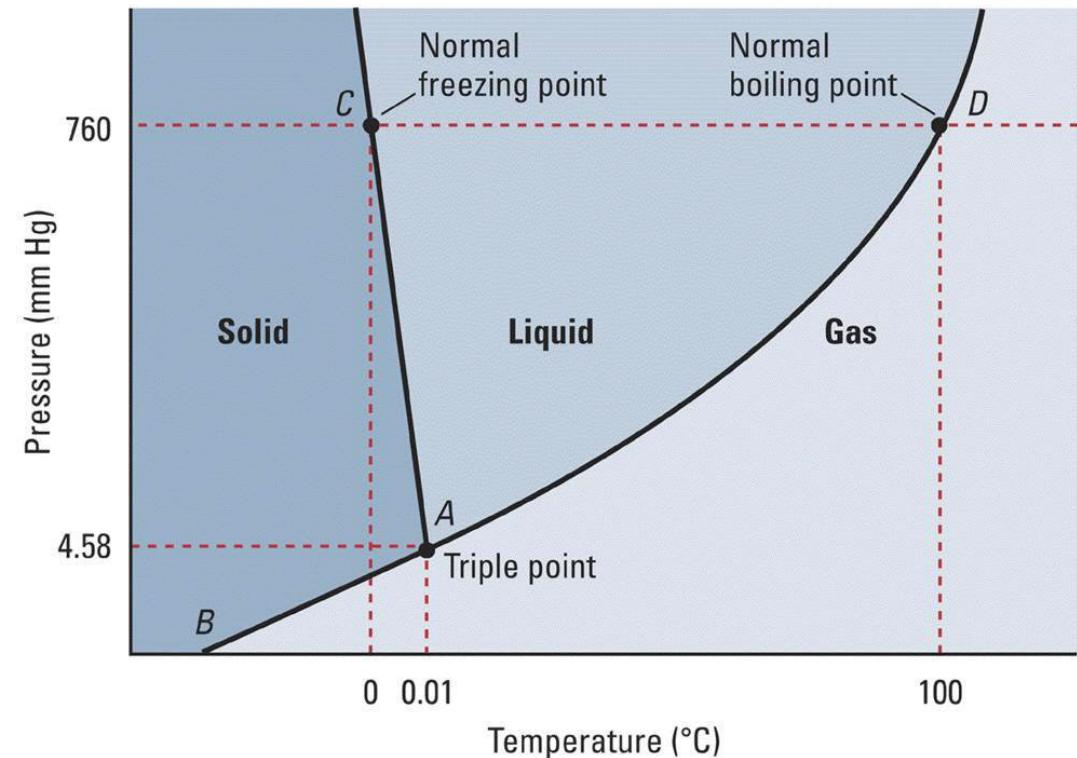


# Solids

- Described by local order parameters and symmetry breaking
- Rich structure; classification: 230 space groups in 3D; 17 in 2D

# Liquids (water)

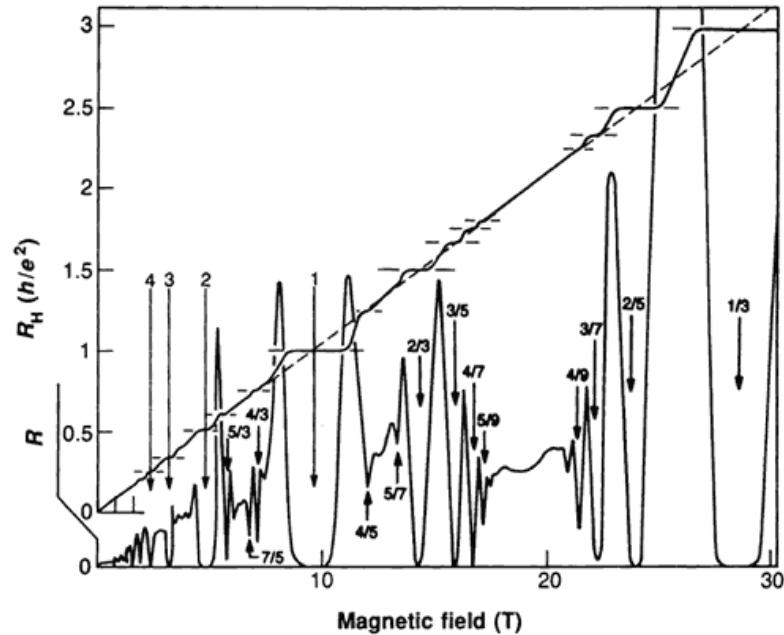
- Unbroken space symmetries
- Characterized by short distance correlations, dynamical properties
- Much more subtle to classify than solids
- Crossover between "phases"



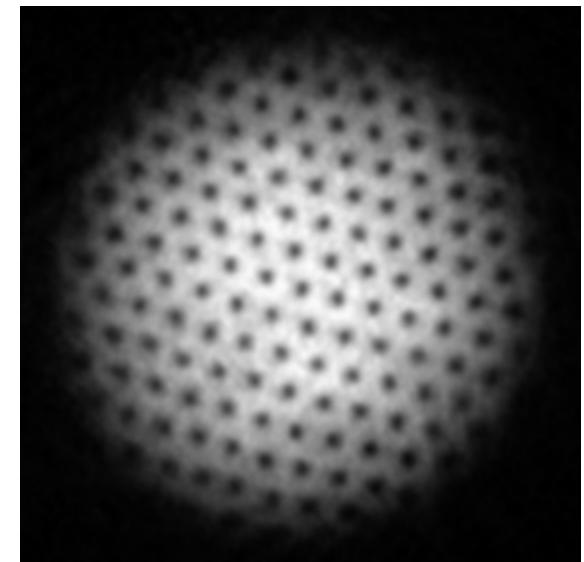
# Quantum liquids

- Prominent examples:

Quantum  
Hall effect



Superfluidity



Here: Liquids beyond Landau / topological order

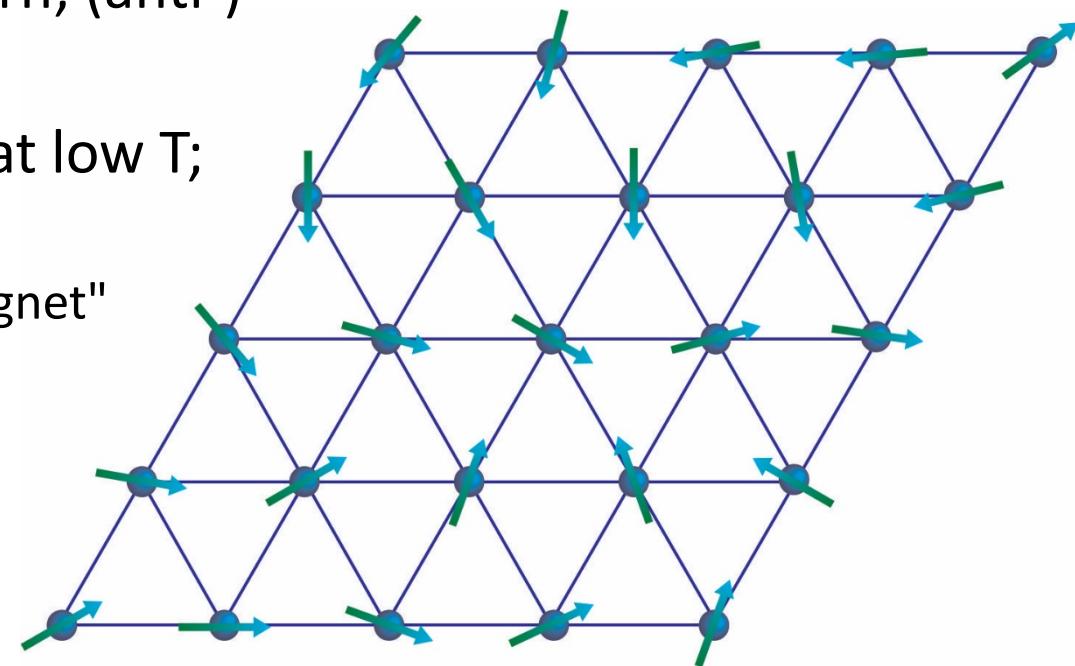
- No breaking of (continuous, global) symmetry as  $T \rightarrow 0$
- Absence of local order parameter

# Similar phases in magnetic systems

Phases:

- **Spin gas:** Independent spins point in random directions; high-T paramagnetic phase.
- **Spin solid:** Freezing of spins to a regular pattern; (anti-) ferromagnetic phase.
- **Spin liquid?** Interacting and fluctuating spins at low T; no ordering and no symmetry breaking

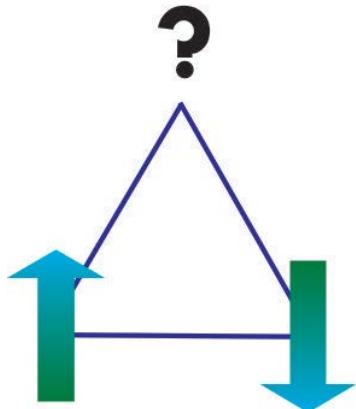
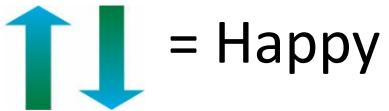
"Cooperative paramagnet"



# Geometric frustration

$$H = JS_i^z S_j^z, \quad J > 0$$

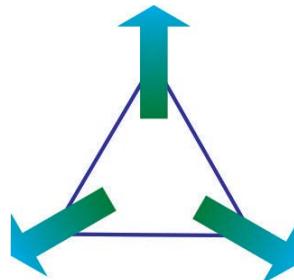
- Two Ising spins:
- Three Ising spins with antiferromagnetic interaction:



→ Degeneracy of classical ground state.

Triangular Ising lattice [Wannier 1950].

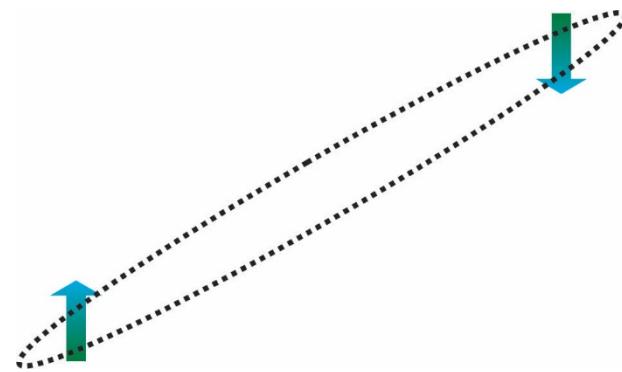
- Classical Heisenberg spins:



→ Quantum spins?  
→ More involved interactions?

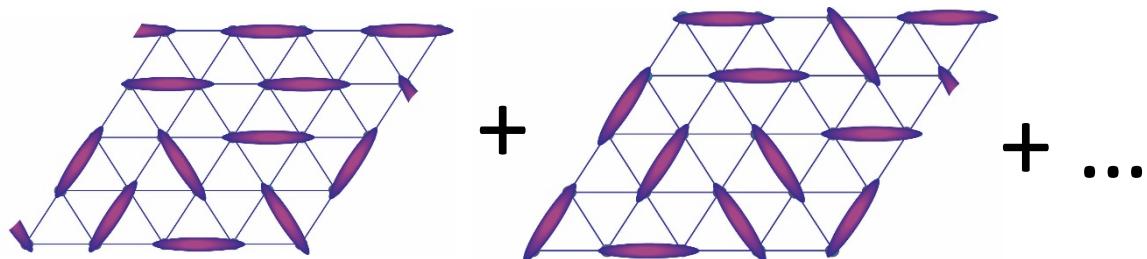
# Quantum spin liquids: general properties

- Absence of global spin rotation breaking at T=0 (negative definition)
- Certainly happens in 1D spin models
  - What about 2D or 3D? Néel order or disordered GS?
- Long-range entanglement [Levin, Wen; Kitaev, Preskill 06]; State that cannot be approximated by any finite-region product wave function.



# Resonating valence bonds (RVB)

- Valence bond singlet:  $|\text{VB}\rangle = \frac{1}{\sqrt{2}}[|\uparrow_1\downarrow_2\rangle - |\downarrow_1\uparrow_2\rangle]$  =   $\langle S_1 \cdot S_2 \rangle = -3/4$   
Néel:   $\langle S_1 \cdot S_2 \rangle = -1/4$
- Anderson 1973: Quantum superposition of valence bonds may beat Néel order

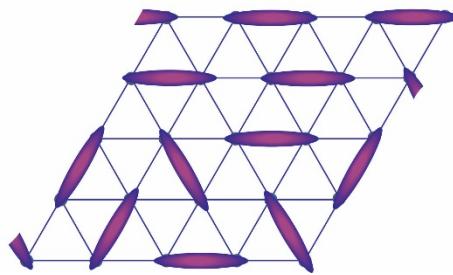


- Anderson 1987: **High-temperature superconductivity** can naturally emerge from RVB states [Lee, Nagaosa, Wen, RMP 78, 17 (2006)]
- Spinon excitation (spin-1/2); broken valence bond ( $\Delta E = J/2$ )



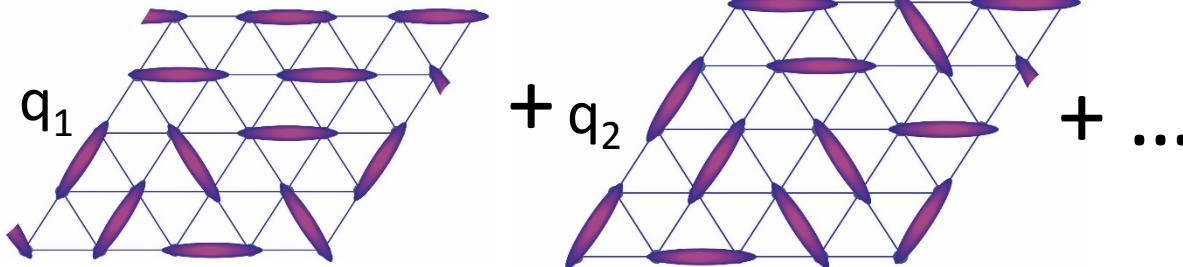
# Types of valence bond states

- Valence bond solid



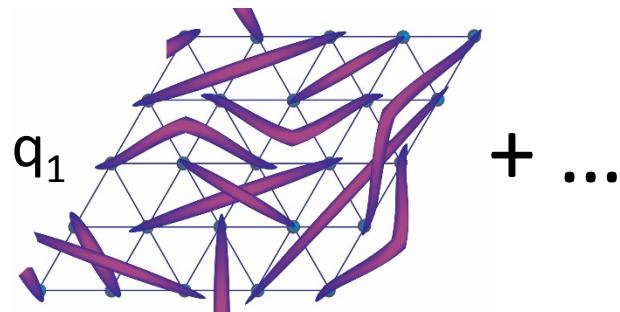
- Lattice symmetry breaking
- Product state of valence bonds
- No long-range entanglement

- Liquid of “short” valence bonds



- No lattice symmetry breaking
- Long-range entangled
- Gapped ( $S=1/2$ ) spinon excitation
- Spinless vortex excitation (visons)
- Topological order; group cohomology classification [Chen,Gu,Wen 12]

- Liquid of “long” valence bonds



- No lattice symmetry breaking
- Long-range entangled
- Low-energy spinon excitations
- Algebraic/critical correlations (ASL)
- Classification more subtle

# Gutzwiller construction of RVB states

- *A priori* it is difficult to make the RVB picture quantitative
- Take simple long-range entangled state – the Fermi gas:

$$|FS\rangle = \prod_{\epsilon_k < \mu} c_{k\downarrow}^\dagger c_{k\uparrow}^\dagger |0\rangle$$

$$P_G |FS\rangle = q_1 |\uparrow, \downarrow, \downarrow, \uparrow, \dots\rangle + q_2 |\downarrow, 0, \uparrow, \downarrow, \dots\rangle + q_3 |\downarrow, \uparrow, \uparrow\downarrow, \downarrow, \dots\rangle + \dots$$

- Projection ( $P_G$ ) can efficiently be done (for Fermions) using Monte Carlo tec.
- Liquid character not destroyed by projection ?

[Grover, Vishwanath 11; Tao Li, EPL 13]

- Auxiliary degree of freedom (slave particles, spinons)  $c_{j\sigma}$
- Emergent local (gauge) symmetry

→ "parton construction"

# Projective symmetry group

- How to classify Heisenberg spin states beyond symmetry breaking?
  - Broken symmetry: Bragg-peaks; Landau theory
- X.-G. Wen: Parton classification [PRB 65, 165113 (2002)]
- Parton classification of *chiral* spin states [SB et al., PRB 93, 094437 (2016)]

# Parton construction & classification

Spin-1/2 Heisenberg model:  $H = \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$

(a) Fractionalize spin into spinons  $f_\alpha$ , carrying  $\Delta S = 1/2$  (magnons  $\Delta S=1$ )  
( $f_\alpha$ : spinon/“Abrikosov fermion” creation operator)

spinon doublet:  $\mathbf{f} = (f_\uparrow, f_\downarrow)^T$        $2S_a = \mathbf{f}^\dagger \sigma_a \mathbf{f}$        $S^2 = \frac{3}{4}n[2-n]$

enlarged local Hilbert space:

$$\{| \uparrow \rangle, | \downarrow \rangle\} \xrightarrow{\text{ }} \{| 0 \rangle, | \uparrow \rangle, | \downarrow \rangle, | \uparrow\downarrow \rangle\} \quad \text{constraint/physical subspace: } n = \mathbf{f}^\dagger \mathbf{f} \equiv 1$$

gauge doublet:  $\psi = (f_\uparrow, f_\downarrow^\dagger)^T$

gauge transformation:  $\psi \mapsto g\psi$ ,  $g \in \text{SU}(2)$  : leaves spin  $S_a$  invariant

Affleck et al, PRB 38, 745 (1988).  
Marston et al, PRB 39, 11538 (1989).

Emergent local SU(2) (gauge) symmetry:

$$\psi = (f_\uparrow, f_\downarrow^\dagger)^T$$

$$\psi \mapsto g\psi, g \in \text{SU}(2)$$

### Projective symmetry group:

How can actual symmetries be represented in the spinon Hilbert space?

Wen, PRB 65, 165113 (2002)

e.g., time-reversal:  $\Theta(\psi) = \varepsilon \psi^* \xrightarrow{g_\Theta = \varepsilon^T} \psi^*$        $\varepsilon = i\sigma_2$

Algebraic symmetry relations must be *respected* by  
the representation (up to gauge transformations) !

# Parton Ansatz

$$H = \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j \quad 2S_a = \mathbf{f}^\dagger \sigma_a \mathbf{f}$$

+ Hubbard-Stratonovich  
or MF decoupling

→ (b) Quadratic spinon Hamiltonian (= singlet "ansatz")

$$H_0 = \sum_{ij} \xi_{ij} f_{i\alpha}^\dagger f_{j\alpha} + \Delta_{ij} f_{i\uparrow} f_{j\downarrow} + \text{h.c.} = \sum_{ij} \psi_i^\dagger u_{ij} \psi_j + \text{h.c.} \quad \psi = (f_\uparrow, f_\downarrow^\dagger)^T$$

Ansatz:  $u = \{u_{ij}\}$

$$u_{ij} = \begin{pmatrix} \xi_{ij} & \Delta_{ij} \\ \Delta_{ij}^* & -\xi_{ij}^* \end{pmatrix}$$

Interpretations/uses of  $H_0(u)$ :

(i) Low-energy effective theory;

Invariant gauge group (IGG<sub>u</sub>): U(1) [  $f_j \mapsto e^{i\varphi} f_j$  ] or  $\mathbb{Z}_2$  [  $f_j \mapsto -f_j$  ]

(ii) Self-consistent saddle point of  $H$

(iii) Tool for constructing spin w.f. by Gutzwiller projection :  $|\psi\rangle = \prod_j n_j[2-n_j] |\psi_0\{u_{ij}\}\rangle$   
 Variational Monte Carlo (VMC) method

# Projective symmetry group (PSG)

**1. Algebraic PSG:** Representation classes of the symmetry group SG in the gauge group  $\mathcal{G} = \{g\}$ ,  $g = \otimes g_j$ ,  $g_j \in \text{SU}(2)$

$$Q: \text{SG} \rightarrow \mathcal{G}$$

$$x \mapsto g_x$$

Equivalence of reps:

$$Q^1 \sim Q^2 \iff \exists g \in \mathcal{G} \text{ s.t. } Q^1 = gQ^2g^\dagger$$

Algebraic relations in SG respected *up to IGG*, e.g.: reflection  $\sigma^2 = 1 \iff g_\sigma(\mathbf{r})g_\sigma(\sigma\mathbf{r}) \in \text{IGG} \{\pm 1\}$

IGG: Invariant Gauge Group  
(here:  $\mathbb{Z}_2$  classification)

**2. Invariant PSG:** Ansatz  $u$  respecting SG for each PSG class

action of symmetry  $x$  on Ansatz:  $Q_x(u_{ij}) = (-)^{\tau_x} g_x(i) u_{x^{-1}(ij)} [g_x(j)]^\dagger$

$$Q_x(u) = u \quad \text{for all } x \text{ in SG}$$

# PSG: kagome

SB et al., Phys. Rev. B 92, 060407(R) (2015)

Symmetries:  $\text{SG}_{\tau_\sigma, \tau_R} = \{T_{\hat{x}}, T_{\hat{y}}, \sigma\Theta^{\tau_\sigma}, R\Theta^{\tau_R}\}$

$\tau_R = 0, \tau_\sigma = 0$  : Symmetric QSL

$\tau_R = 0, \tau_\sigma = 1$  : "Kalmeyer-Laughlin" CSL

$\tau_R = 1$  : Staggered-flux CSL

$$g_x = \mathbb{1}_2$$

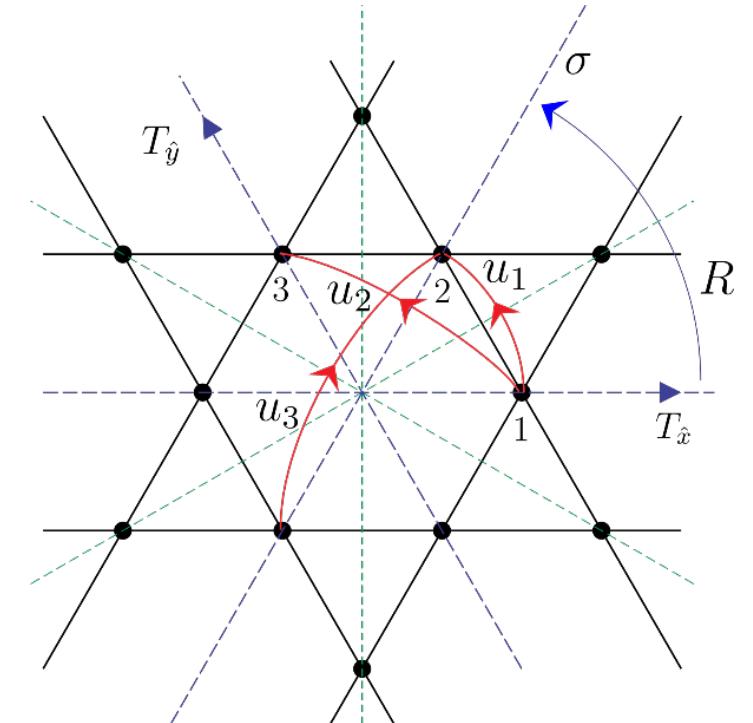
$$g_y = (\epsilon_2)^x \mathbb{1}_2$$

$$g_\sigma(x, y) = (\epsilon_2)^{xy} g_\sigma$$

$$g_R(x, y) = (\epsilon_2)^{xy+y(y+1)/2} g_R$$

$$\epsilon_2 = \pm 1$$

no.	$g_\sigma$	$g_R$	$\epsilon_\sigma$	$\epsilon_{R\sigma}$	$\epsilon_R$	sym
1	$\mathbb{1}_2$	$\mathbb{1}_2$	+	+	+	$\text{SU}(2)$
2	$i\sigma_3$	$\mathbb{1}_2$	-	-	+	$\text{U}(1)$
3	$\mathbb{1}_2$	$i\sigma_3$	+	-	-	$\text{U}(1)$
4	$i\sigma_3$	$i\sigma_3$	-	+	-	$\text{U}(1)$
5	$i\sigma_2$	$i\sigma_3$	-	-	-	$\mathbb{Z}_2$



→ **10 PSG classes on Kagome**

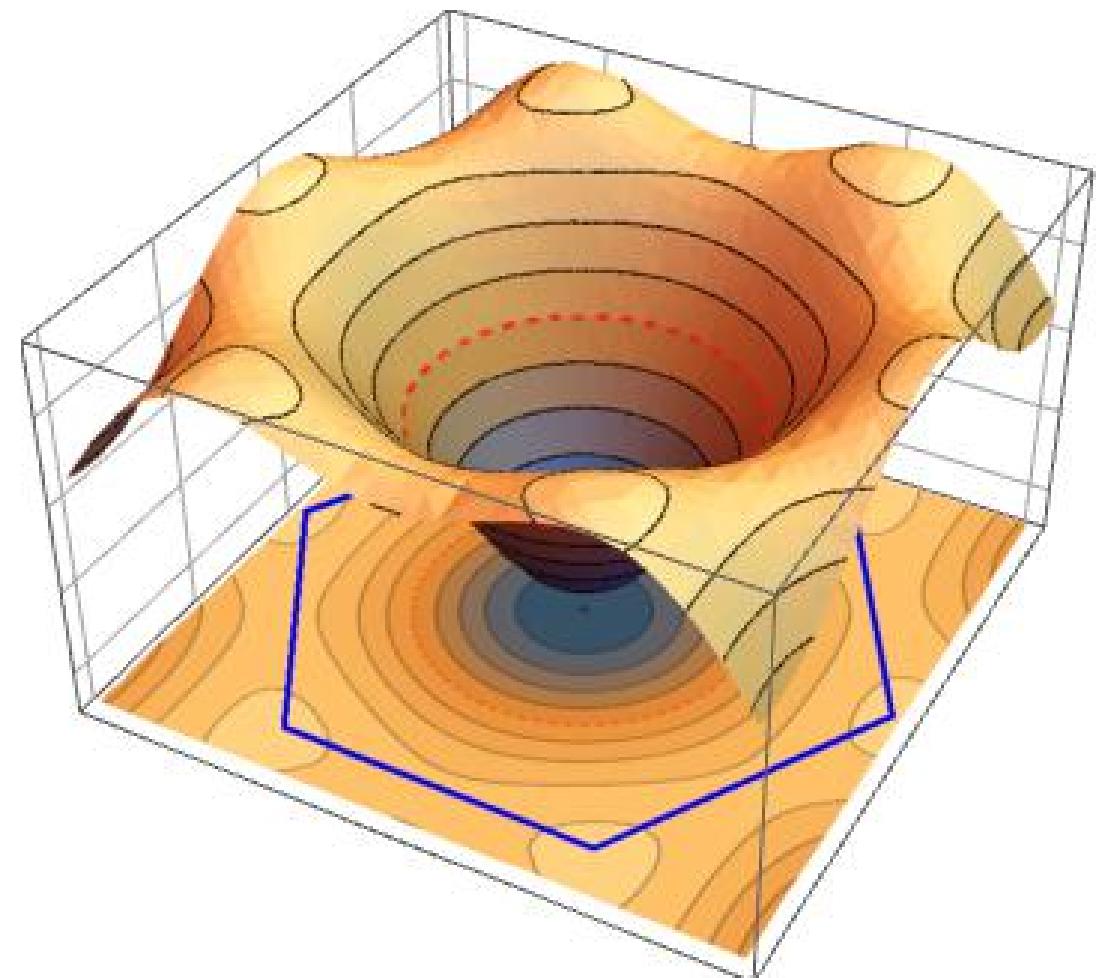
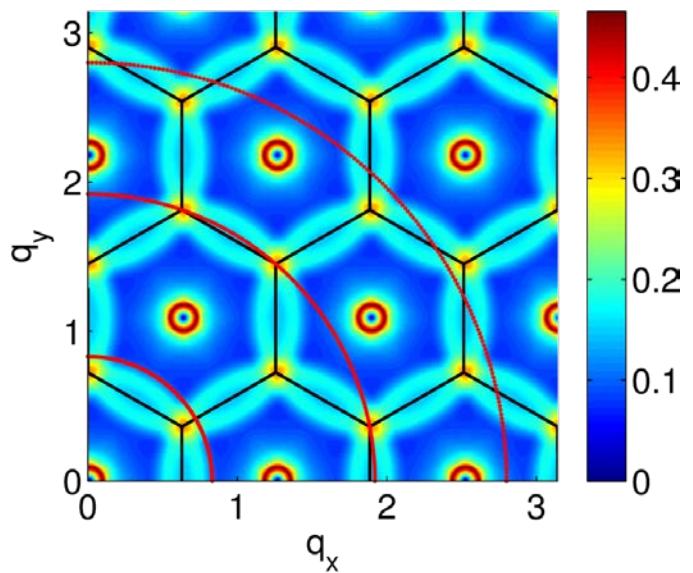
# Characterization: Spinon spectrum; Spin structure factor

E.g. spinon Fermi surface  
(note: This is a Mott insulator!)

$$S \sim \langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle$$

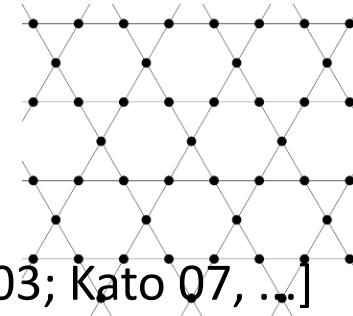
$$S(\mathbf{q}) \sim |\mathbf{q}|^\alpha, \text{ as } |\mathbf{q}| \rightarrow 0 \text{ ("algebraic SL")}$$

$S(\mathbf{q}, \omega \sim 0)$  features at  $\mathbf{q} \sim 2\mathbf{k}_F$



# Physical realizations of QSLs

- In recent years, a number of experimental QSL candidate materials have been discovered:
  - Kagome lattice  $[ZnCu_3(OH)_6Cl_2]$ 
    - Herbertsmithite [Nocera 05; Y. Lee 12]
    - Kapellasite [Wills 08; Fak 12]
    - Vanadite [Bert 13], ...
  - Triangular lattice spin-1/2 : k-ET, dMIT (organics) [Saito 03; Kato 07, ...]
  - Triangular lattice spin-1 :  $Ba_3NiSb_2O_9$  [Balicas 11; Quilliam; Darie; Fak, **SB** 16]
  - 3D candidates:  $Yb_2Ti_2O_7$ ,  $Na_4Ir_3O_8$ , ... (pyrochlore, hyperkagome) [Mendels 15, ...]



# Kapellasite $[\text{ZnCu}_3(\text{OH})_6\text{Cl}_2]$

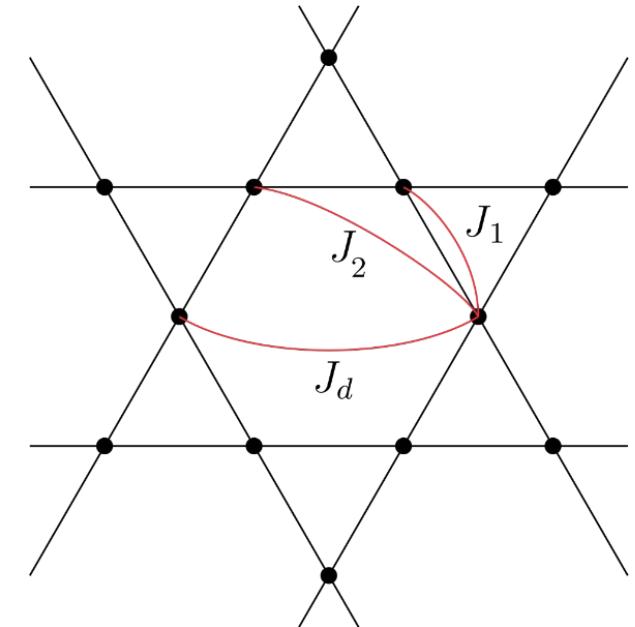
- No ordering down to mK, gapless spin excitations
- Weak ferro Curie-Weiss temp  $\Theta_{\text{CW}} \sim 9 \text{ K}$
- Farther-neighbor Heisenberg exchange:  $J_1 \sim -12 \text{ K}$ ,  $J_2 \sim -4 \text{ K}$ ,  $J_d \sim 16 \text{ K}$
- Powder samples

R. H. Colman et al, C.M. 20, 6897 (2008); 22, 5774 (2010).

O. Janson at al, PRL 101, 106403 (2008).

H. O. Jeschke et al, PRB 88, 075106 (2013).

E. Kermarrec et al, PRB 90, 205103 (2014).



B. Fåk et al, PRL 109, 037208 (2012).

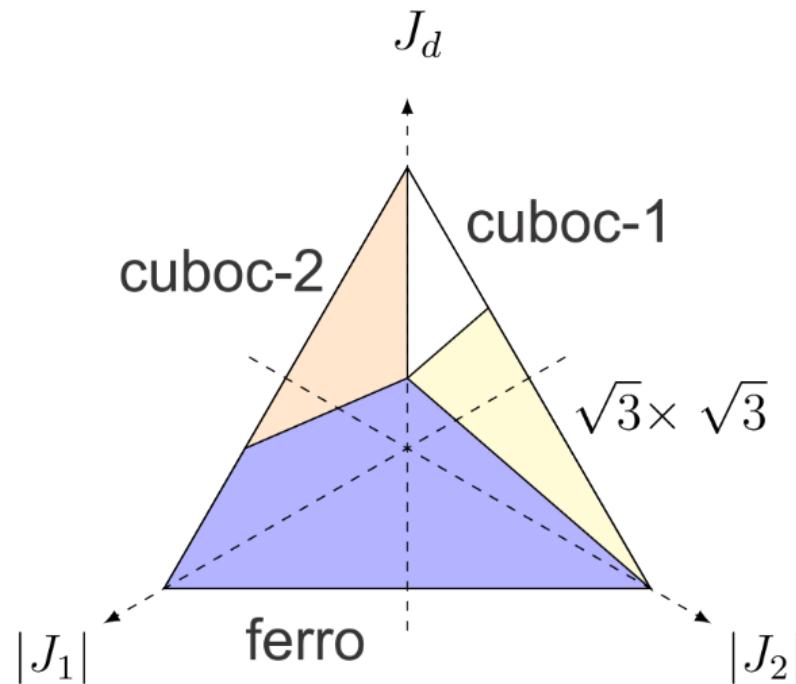
B. Bernu et al, PRB 87, 155107 (2013).

$$H = J_1 \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle i,j \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_d \sum_{\langle i,j \rangle_d} \mathbf{S}_i \cdot \mathbf{S}_j$$



Experimental evidence for gapless quantum spin liquid ground state

# Phase diagram of *classical* $J_1$ - $J_2$ - $J_d$ kagome Heisenberg model



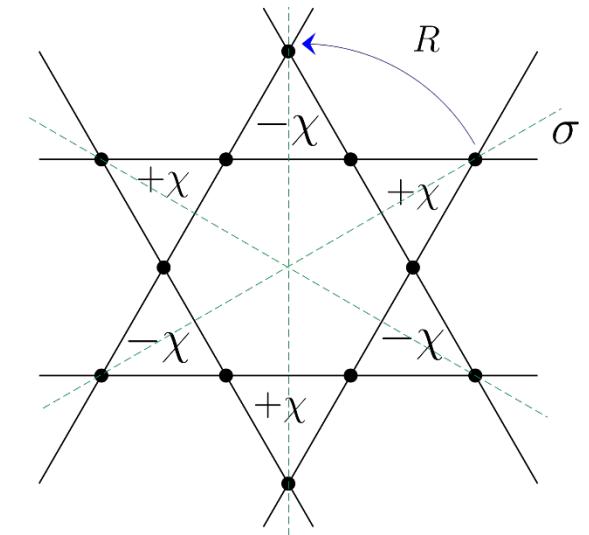
$$|J_1| + |J_2| + J_d = 1$$

$$J_1 < 0, J_2 < 0, J_d > 0$$

Messio, Lhuillier, Misguich, PRB 83, 184401 (2011).

cuboc-1,-2: non-planar spin order with  $\chi = \mathbf{S}_1 \cdot (\mathbf{S}_2 \times \mathbf{S}_3) \neq 0$   
12 site unit cell

Spontaneous breaking of time-reversal, (up to) lattice reflection and rotation



What happens in the case of quantum spin  $S=1/2$ ?

Is the elusive chiral spin liquid realized in Kapellasite?

Kalmeyer and Laughlin, PRL 59, 2095 (1987).

Wen, Wilczek, Zee, PRB 39, 11413 (1989).

Yang, Warman, Girvin, PRL 70, 2641 (1993).

# Quantum phase diagram

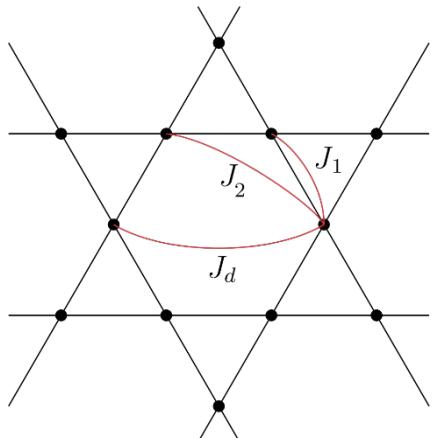
SB et al, PRB 92, 060407 (2015)

Energy comparison of projected U(1) CSL w.f.

$$|\psi\rangle = \prod_j n_j [2-n_j] |\psi_0\rangle$$

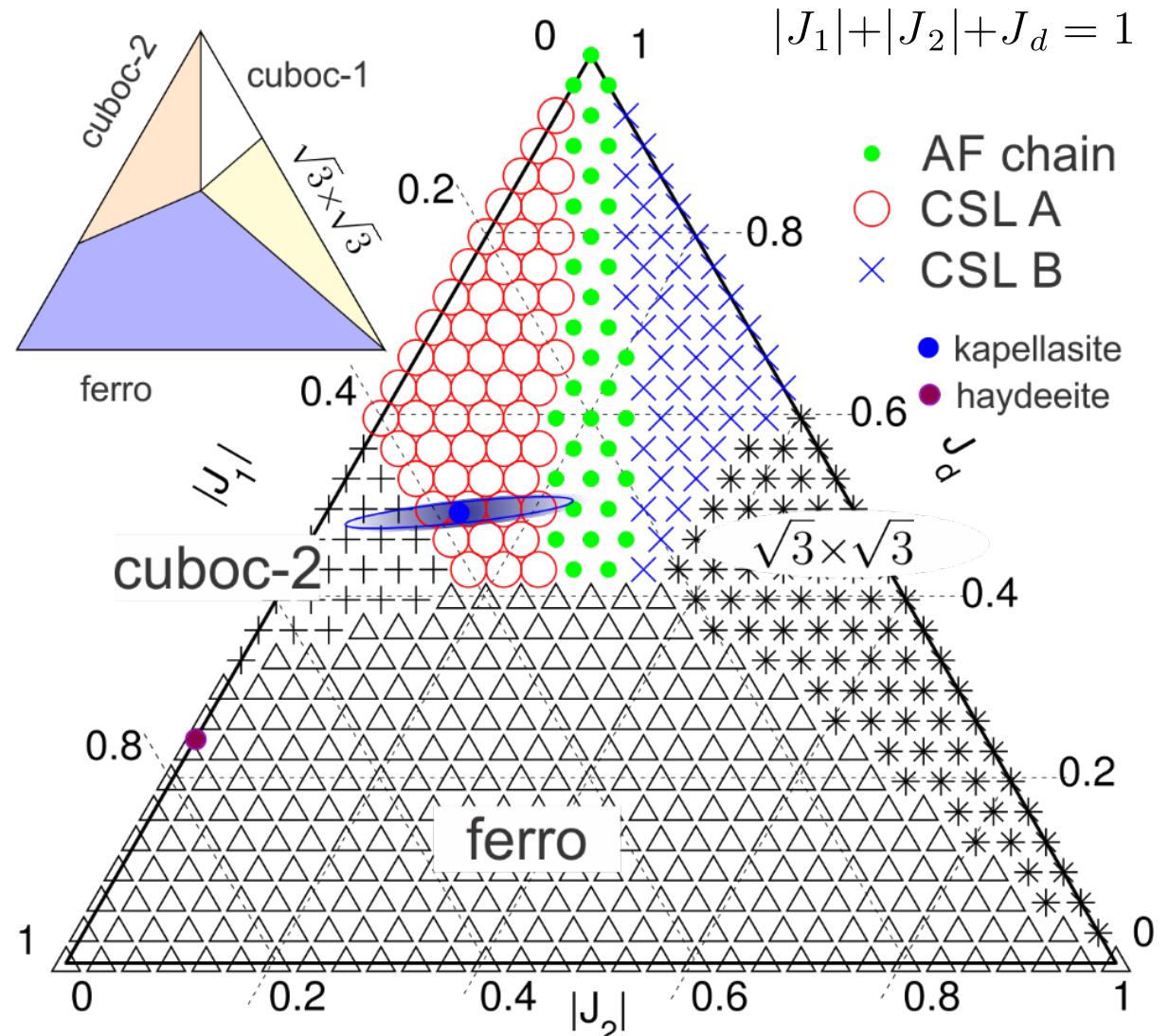
with correlated Néel states

$$|{\text{Neel}}\rangle = \exp\left\{\sum_{ij} \mathcal{J}_{ij} S_i^z S_j^z\right\} \prod_k |S_k\rangle$$



Spin model

$$H = J_1 \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{\langle\langle i,j \rangle\rangle} \mathbf{S}_i \cdot \mathbf{S}_j + J_d \sum_{\langle i,j \rangle_d} \mathbf{S}_i \cdot \mathbf{S}_j \quad \text{with } J_1 < 0, J_2 < 0, J_d > 0$$



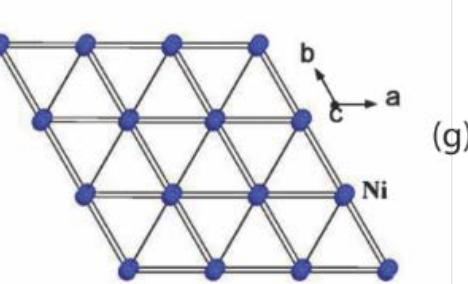
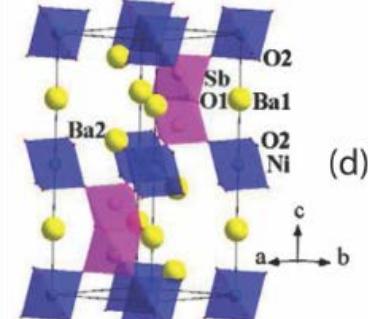
see also Iqbal, PRB 92, 220404 (2015);  
Gong, PRB 94, 035154 (2016)  
?

# $\text{Ba}_3\text{NiSb}_2\text{O}_9$

## High-Pressure Sequence of $\text{Ba}_3\text{NiSb}_2\text{O}_9$ Structural Phases: New $S = 1$ Quantum Spin Liquids Based on $\text{Ni}^{2+}$

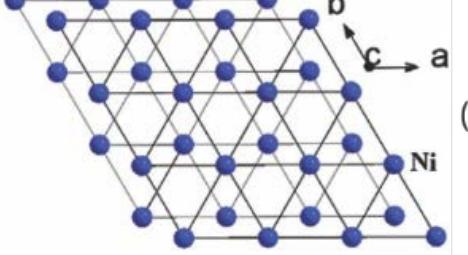
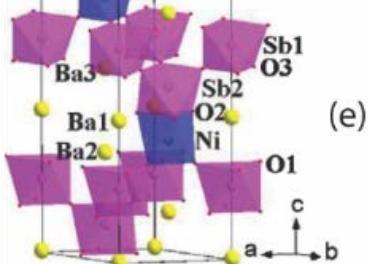
J. G. Cheng,<sup>1</sup> G. Li,<sup>2</sup> L. Balicas,<sup>2</sup> J. S. Zhou,<sup>1</sup> J. B. Goodenough,<sup>1</sup> Cenke Xu,<sup>3</sup> and H. D. Zhou<sup>2,\*</sup>

6H-A



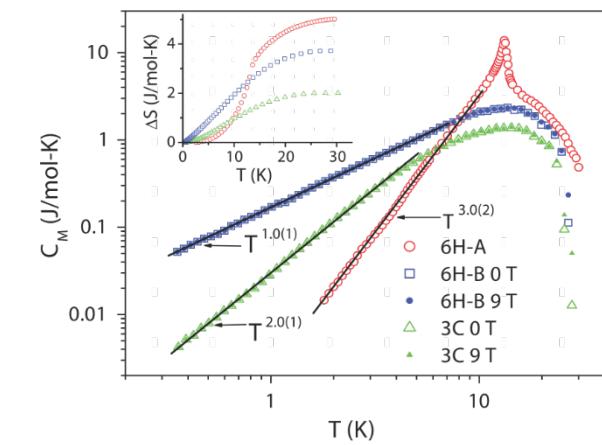
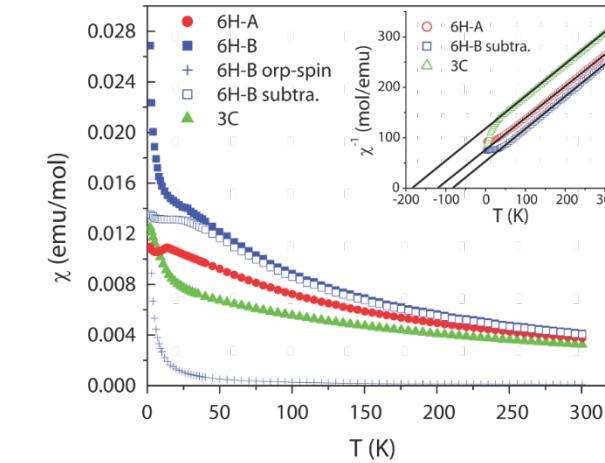
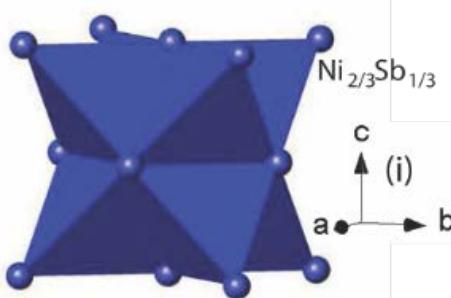
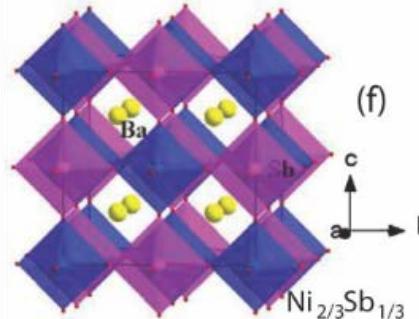
(g)

6H-B



(h)

3C



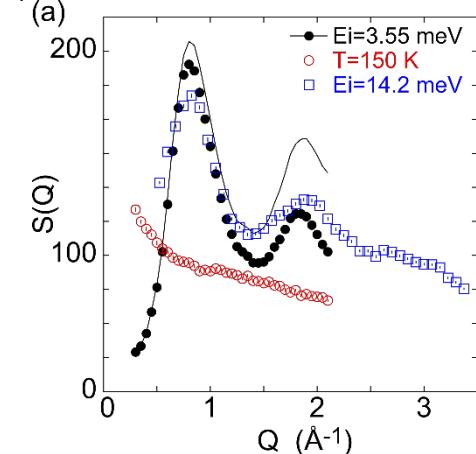
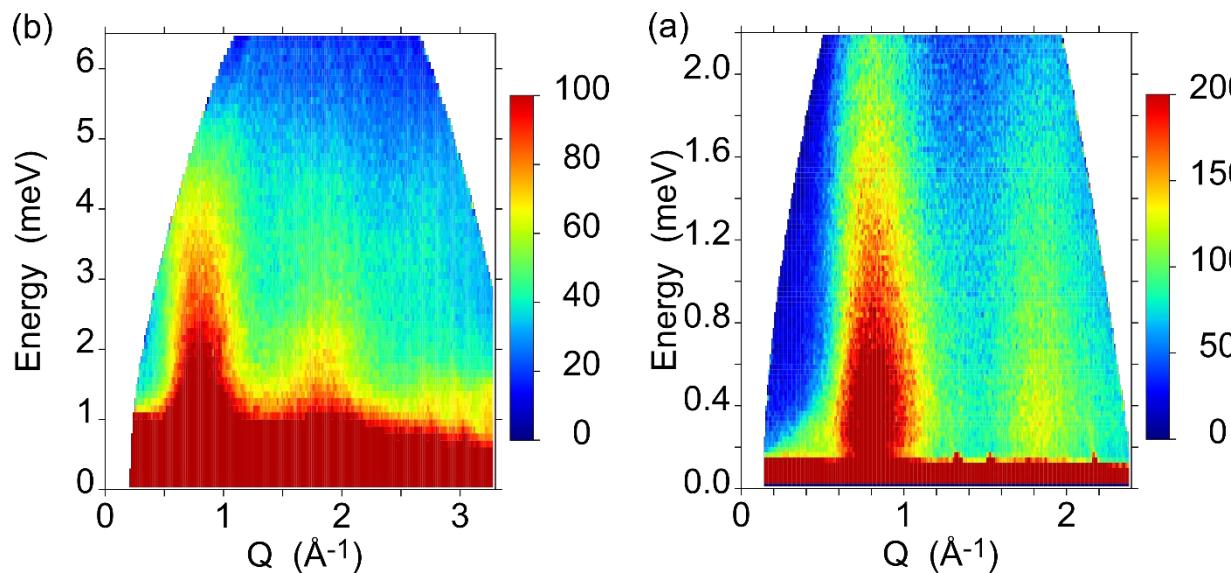
Theories for intriguing 6H-B phase:

- Serbyn et al, PRB 84, 180403 (2011)
- SB et al, PRB 86, 224409 (2012)
- Xu et al, PRL 108, 087204 (2012)
- Chen et al, PRL 109, 016402 (2012)
- Hwang et al, PRB 87, 235103 (2013)

# B-Ba<sub>3</sub>NiSb<sub>2</sub>O<sub>9</sub> INS

B. Fak, SB, et al arXiv:1610.03753

Inelastic neutron scattering on 6H-B phase (powder):

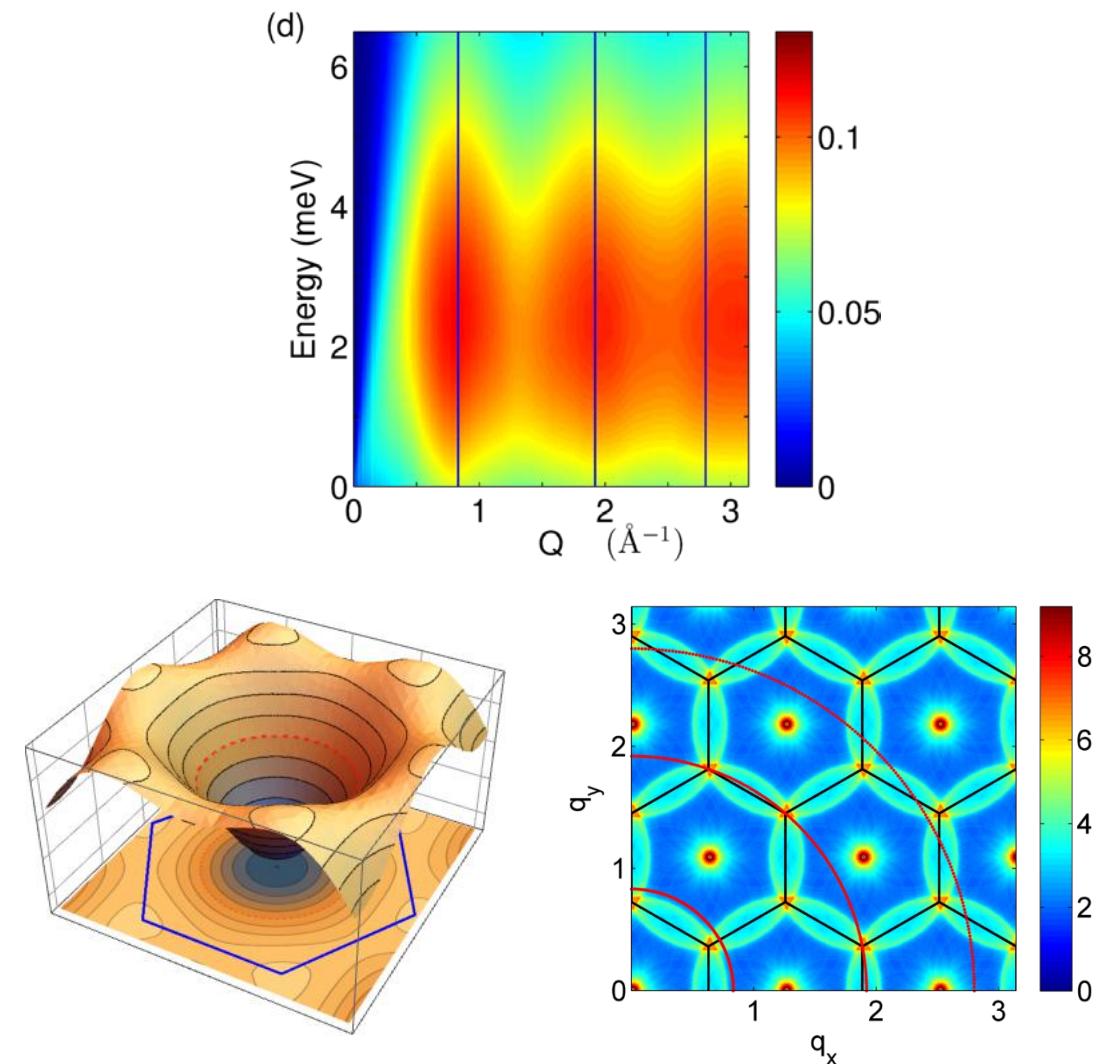


NMR: Quilliam et al, PRB 93, 214432 (2016).

spin fractionalization:

$$\mathbf{S} = i\mathbf{f}^\dagger \wedge \mathbf{f} = i(\varepsilon^{abc} f_b^\dagger f_c), \quad a = x, y, z$$

$S(Q, \omega)$  for Fermi sea of spinons at 1/3 filling



# Conclusion & outlook

- PSG classification for QSLs
- Exhaustive list of parton CSLs on kagome, variational phase diagram
- 1D to 2D crossover in a  $S=1/2$  kagome system (kapellasite)
- Evidence for spinon Fermi surface in  $S=1$  triangular QSL (B-BaNiSbO)
- Outlook:
  - Effect of spin-orbit coupling (Dzyaloshinskii-Moriya)
  - Ring exchange terms
  - 3D QSLs (hyperkagome, ...)

**Thank you!**